

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

FMCE-P067 US

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/890900

INTERNATIONAL APPLICATION NO.
PCT/GB00/00462INTERNATIONAL FILING DATE
10 February 2000PRIORITY DATE CLAIMED
11 February 1999

TITLE OF INVENTION

SUBSEA COMPLETION APPARATUS

APPLICANT(S) FOR DO/EO/US

Gatherar, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - Credit Card Payment Form (\$1,722.00).
 - Application Cover Sheet with Certificate of Mailing
 - 37 CFR (1.10)

U.S. APPLICATION NO. 09/890900 INTERNATIONAL APPLICATION NO. PCT/GB00/00462		ATTORNEY'S DOCKET NUMBER FMCE-P067 US			
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$ 860.00</td> <td style="width: 40%; border: none;"></td> </tr> </table>		\$ 860.00	
\$ 860.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table>		\$	
\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	44 - 20 =	24	x \$18.00		
Independent claims	5 - 3 =	2	x \$80.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS =			\$ 862.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		<table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table>		\$	
\$					
SUBTOTAL =		\$ 1,722.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		<table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table>		\$	
\$					
TOTAL NATIONAL FEE =		\$ 1,722.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		<table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table>		\$	
\$					
TOTAL FEES ENCLOSED =		\$ 1,722.00			
		Amount to be refunded:	\$		
		charged:	\$		
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Henry C. Query, Jr. 504 S. Pierce Avenue Wheaton, IL 60187 (630) 260-8093 </div> <div style="width: 45%; text-align: right;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> </div> SIGNATURE <div style="border-bottom: 1px solid black; margin-bottom: 5px;">Henry C. Query, Jr.</div> NAME <div style="border-bottom: 1px solid black; margin-bottom: 5px;">35,650</div> REGISTRATION NUMBER </div> </div>					

09/890900

Attorney's Packet No.: FMCE-P067 US

JC03 RPT PCT/FTC 07 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR UNITED STATES LETTERS PATENT UNDER 35 U.S.C. 371

TITLE: SUBSEA COMPLETION APPARATUS

INVENTOR(S):

Nicholas Gatherar
18 Juniper Avenue
Juniper Green, Midlothian, EH14 5EE
United Kingdom
A citizen of the United Kingdom

Richard Kent
2 Craigmill Cottages
Newburgh, Fife, KY14 6ER
United Kingdom
A citizen of the United Kingdom

Jøren Breda
Sundveien 14B
N-1397 Nesøya
Norway
A citizen of Norway

Atle Rangnes
Rundtom 44
N-1370 Asker
Norway
A citizen of Norway

Stein Tore Haavimb
Münsters vei 4
N-3610 Kongsberg
Norway
A citizen of Norway

Tore Andersen
Sognsvannsveien 47A
N-0372 Oslo
Norway
A citizen of Norway

Karl-Willie Hoel
Heggedalsskogen 50
N-1389 Heggedal
Norway
A citizen of Norway

(continued)

09/890900

Attorney Packet No.: FMCE-P067 US

Rec'd PCT/PTC 07 AUG 2001

Andreas Hatlo
Hasle Gård
N-3125 Tønsberg
Norway
A citizen of Norway

Jens Grendstad
Linneaveien 4
N-3050 Mjøndalen
Norway
A citizen of Norway

Tarjei Haugen
Dr. Bryns vei 6B
N-3610 Kongsberg
Norway
A citizen of Norway

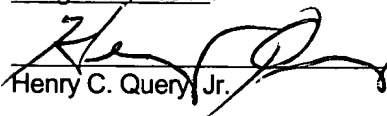
ATTORNEYS:

Henry C. Query, Jr.
504 S. Pierce Ave.
Wheaton, IL 60187
(630) 260-8093

Certificate of Mailing (37 CFR 1.10)

"Express Mail" mailing label number ET180583447US.

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on August 6, 2001.


Henry C. Query, Jr.